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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/673,188	09/30/2003	Hironobu Sai	033022-010	1256
21839 BUCHANAN.	7590 07/19/2007 INGERSOLL & ROONE	EXAMINER		
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ALEXANDRIA, VA 22313-1404			ART UNIT	PAPER NUMBER
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

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	Application No.	Applicant(s)				
	10/673,188	SAI ET AL.				
Office Action Summary	Examiner	Art Unit				
	Thao X. Le	2814				
The MAILING DATE of this communication  Period for Reply	on appears on the cover sheet wi	th the correspondence address				
A SHORTENED STATUTORY PERIOD FOR F THE MAILING DATE OF THIS COMMUNICAT  - Extensions of time may be available under the provisions of 37 ( after SIX (6) MONTHS from the mailing date of this communicat  - If the period for reply specified above is less than thirty (30) days  - If NO period for reply is specified above, the maximum statutory  - Failure to reply within the set or extended period for reply will, by Any reply received by the Office later than three months after the earned patent term adjustment. See 37 CFR 1.704(b).	ION.  CFR 1.136(a). In no event, however, may a resion.  s, a reply within the statutory minimum of thirt period will apply and will expire SIX (6) MON a statute, cause the application to become AB	eply be timely filed  y (30) days will be considered timely.  THS from the mailing date of this communication.  ANDONED (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on	06 June 2007.					
2a)⊠ This action is <b>FINAL</b> . 2b)□						
3) Since this application is in condition for a	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice ur	closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims						
<ul> <li>4)  Claim(s) 1,3,4 and 10-12 is/are pending in the application. <ul> <li>4a) Of the above claim(s) 5-9 is/are withdrawn from consideration.</li> </ul> </li> <li>5)  Claim(s) is/are allowed.</li> <li>6)  Claim(s) 1,3,4 and 10-12 is/are rejected.</li> <li>7)  Claim(s) is/are objected to.</li> <li>8)  Claim(s) are subject to restriction and/or election requirement.</li> </ul>						
Application Papers						
9)☐ The specification is objected to by the Ex	aminer.					
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by	the Examiner. Note the attached	d Office Action or form PTO-152.				
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for for a) All b) Some * c) None of:  1. Certified copies of the priority docu 2. Certified copies of the priority docu 3. Copies of the certified copies of the application from the International E * See the attached detailed Office action for	uments have been received. uments have been received in A e priority documents have been Bureau (PCT Rule 17.2(a)).	application No received in this National Stage				
Attachment(s)						
Attachment(s)  1) Notice of References Cited (PTO-892)	4) Interview S	Summary (PTO-413)				
2) Notice of Draftsperson's Patent Drawing Review (PTO-9	Paper No(	s)/Mail Date				
3) Information Disclosure Statement(s) (PTO-1449 or PTO-Paper No(s)/Mail Date	/SB/08) 5)	nformal Patent Application (PTO-152)				

## **DETAILED ACTION**

## Claim Rejections - 35 USC § 103

- The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).
- Claims 1, 3-4 and 10-12 are rejected under 35 U.S.C. 103(a) as being 3. unpatentable over US 6898215 to Naone et al. in view of US 6716378 to Yang et al. of record.

Regarding claim 1, Naone discloses a semiconductor light emitting device (LED) in fig. 1 and 7H-JA comprising: a mesa section (convex portion) having at least sandwich structure of an n-type clad layer 16, column 7 line 8, an active layer 18, column 5 line 46, and a p-type clad layer 20, column 5 line 47 and col. 6 line 43, which

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are constituted by compound semiconductor layers formed on a substrate 12, column 5 line 41; an insulating film 454, fig. 7G, of polyimide, column 13 lines 43-48, to cover the mesa section excluding a contact region 428, fig. 7H col. 13 line 51; and an insulating layer 464, col. 13 line 53, cover the insulating layer 454, fig. 7H.

But, Naone does not disclose the LED wherein the inorganic insulating film having a porous area defined by cylindrical vacancies, having vacancy rate of 50% or more while being oriented substantially in parallel with a surface of the substrate, and wherein the vacancies are arranged at periodic interval.

However, Yang discloses the inorganic insulating film having a porous area defined by cylindrical vacancies, having vacancy rate of 50% or more, col. 6 lines 32-35, while being oriented substantially in parallel with a surface of the substrate, col. 6 line 30, and wherein the vacancies are arranged at periodic interval, fig. 2A-5C. At the time the invention was made; it would have been obvious to one of ordinary skill in the art to use the inorganic layer teaching of Yang to replace the insulating layer 464 in Naone's device, because such insulating material would have produced a low dielectric constant, low-cost, nontoxic, and biodegradable inorganic dielectric material as taught by Yang in column 2 lines 38-40.

The 'vacancy' is being interpreted as a 'porosity' or 'holes' structure.

Regarding claim 3, Naone does not disclose the semiconductor light emitting device according to claim 2, wherein the inorganic insulating film comprises a plurality of

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the porous structures, wherein the cylindrical are formed such that the cylindrical vacancies of adjacent porous structures are oriented in different directions.

However, Yang discloses a inorganic insulating film comprises a plurality of the porous structures, wherein the cylindrical are formed such that the cylindrical vacancies of adjacent porous structures are oriented in different directions, fig. 2A-5C col. 3 lines 8-20. At the time the invention was made; it would have been obvious to one of ordinary skill in the art to use the inorganic layer teaching of Yang to replace the insulating layer 464 in Naone's device, because such insulating material would have produced a low dielectric constant and low-cost inorganic dielectric material as taught by Yang in column 2 lines 38-40.

Regarding claims 4 and 10-12, Naone discloses the semiconductor light emitting device according to any of claims to 3, wherein the mesa section includes a surface emission structure having an electrode 428 in a top portion and comprises a semiconductor layer 20, provided with an active layer 18 having a quantum well structure, column 2 line 30, constituted by a compound semiconductor, and a pad 500, fig. 7J, to come in contact with the electrode 428 is provided on the insulating film 464.

With respect to "a sintered inorganic", the process limitations "a sintered inorganic" do not carry weight in a claim drawn to structure. In re Thorpe, 277 USPQ 964 (Fed. Cir. 1985).

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## Response to Arguments

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4. Applicant's arguments filed 06 June 2007 have been fully considered but they are not persuasive. The Applicant argues that Naone discloses layer 464 is an organic layer, not an inorganic layer as claimed, and Yang discloses the mesoporous metal oxide of the claimed invention but fails to disclose why the mesoporous material would be used in a LED device comprising a mesa section, an insulating film polyimide and an inorganic insulating film as claimed; therefore, the combination of Naone with Yang would not be possible. The Examiner respectfully submits that

- a. Polyimide is well known low dielectric material in the art
- b. The inorganic mesoporous of Yang is a low dielectric material, col, 6 line 36 c) and can be used in optoelectronic device, application related to the miniaturization of electronic devices, sensor arrays, or waveguides device, col. 6 lines 37 and 52 and col. 7 lines 20-22.
- c. Thus, replacing the polyimide material of Naone with the mesoporous material of Yang would have provided a functional equivalent material, i.e. low dielectric constant and achieved the advantages as discussed in the above claim 1. And such material substitution would not destroy the intended purpose of Naone's device. In addition, the applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

## Conclusion

5. THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thao X. Le whose telephone number is (571) 272-1708. The examiner can normally be reached on M-F from 8:00 AM - 4:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wael M. Fahmy can be reached on (571) 272 -1705. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only.

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15 July 2007

THAO X. LE
PRIMARY PATENT EXAMINER